



## David A. Bartz

### Partner

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## OVERVIEW

David Bartz is the firm's co-head of Capital Markets and is a member of the Corporate practice. He advises clients in all aspects of corporate and securities law, with an emphasis on capital markets transactions, securities regulation, and corporate governance. David has experience representing both issuers and investment banks in a wide range of capital markets transactions, including initial public offerings, follow-on offerings, public and private offerings of debt and equity securities, and tender offerings. His practice also includes mergers and acquisitions and other general corporate matters, including Exchange Act reporting and corporate governance and compliance matters, including insider reporting and compliance with the rules of the New York Stock Exchange and Nasdaq.

David has counseled and represented companies involved in a broad array of industries, including financial services, international shipping, food distribution, and the energy industry, including exploration and production companies, master limited partnerships, pipeline joint ventures, and oilfield services companies.

## PROFESSIONAL BACKGROUND

Prior to joining the firm, David worked at another international law firm in Houston, Texas, before relocating to Nashville where he continued to focus his practice on capital markets transactions, securities regulation, corporate governance, mergers and acquisitions, and other general corporate and securities matters.

## ACHIEVEMENTS

- Recognized as a Thomson Reuters Stand-out Lawyer, 2026
- Listed in *The Best Lawyers in America*® for Mergers and Acquisitions Law in Nashville, 2024-2025
- Selected to the Texas Super Lawyers Rising Stars list, 2017-2019

## PROFESSIONAL / CIVIC ACTIVITIES

- Nashville Bar Association
- Duke University Nashville Alumni Association

- Duke University Energy Industry Network and Insight Club
- American Bar Association, Business Law Section

## SPEAKING ENGAGEMENTS

- Presenter, "Being a Nasdaq listed company: US Periodic Reporting and Disclosure Obligations and Corporate Governance Standards for Foreign Private Issuers," Asia Innovation Hub (AIH) and Nasdaq Entrepreneurial Center, 22 November 2025
- Presenter, "US IPO Market Trends and Public Company Development," 7th Annual Chinese Companies IPO in USA Summit, Shanghai, China, 7 July 2025
- Presenter, "What Lurks in the Shadows: A Fresh Look at Insider Trading as it Relates to New SEC Rules and Recent SEC Cases," Association of Corporate Counsel Tennessee, 3 December 2024
- Presenter, "[Out of the Shadows and into the Spotlight—A Fresh Look at Insider Trading as it Relates to New SEC Rules and Recent SEC Cases](#)," Lawline, 24 September 2024
- Panelist, "[Synthesizing the 2024 Proxy Season Trends and Challenges for 2025](#)," NACD Nashville, 12 September 2024
- Presenter, "[New SEC Beneficial Ownership Reporting Rules: Shorter Deadlines, Guidance on 'Groups,' Cash-Settled Derivatives](#)," Strafford, 14 December 2023

## EDUCATION

- J.D., Vanderbilt Law School, 2009
- A.B., Duke University, 2006 (*magna cum laude*)

## ADMISSIONS

- Bar of Tennessee
- Bar of Texas

## THOUGHT LEADERSHIP POWERED BY HUB

- 31 December 2025, Section 16(a) Reporting Obligations for Foreign Private Issuer Directors and Officers in 2026
- 11 September 2025, Nasdaq Proposes to Raise the Bar for New and Existing Listings, Particularly Impacting Small Chinese IPOs
- 24 June 2025, SEC Reassesses Foreign Private Issuer Eligibility

- 30 September 2024, Expedited Schedule 13G Deadlines Are Now in Effect
- 19 August 2024, Shedding Light on "Shadow Trading" and What Companies Should Do Now
- 31 January 2024, SEC Adopts Final Rules Regarding SPACs and De-SPAC Transactions to Impose Additional Disclosure and Reporting Requirements
- 18 January 2024, US Asset Management Regulatory Year in Review 2023
- 3 January 2024, DOJ, FBI, and SEC Provide Guidance for Delay Requests Relating to Disclosure of Cybersecurity Incidents Under Form 8-K
- 12 October 2023, SEC Adopts Amendments Modernizing Beneficial Ownership Reporting
- 20 January 2022, SEC Evaluates Changes to its Investor Counting Rules Potentially Forcing Large Private Companies into the Public Reporting Regime

## OTHER PUBLICATIONS

- ["Insider Trading Policy: Updates and Best Practices Checklist Post-Panuwat,"](#) *LexisNexis*, 14 October 2024
- ['The Rise of Special Purpose Acquisition Companies and "SPACulation" of What's to Come,'](#) ACC TN Newsletter, Fall 2021

## NEWS & EVENTS

- 2 February 2026, Nearly 50 K&L Gates Lawyers Recognized on *Thomson Reuters* Stand-out Lawyers List
- 20 October 2025, K&L Gates Advises Commercial Bancgroup, Inc. on US\$172 Million IPO
- 11 March 2025, Nearly 50 K&L Gates Lawyers Receive Global Recognition in *Thomson Reuters'* Stand-out Lawyers List
- 14 December 2023, New SEC Beneficial Ownership Reporting Rules: Shorter Deadlines, Guidance on "Groups," Cash-Settled Derivatives, Hosted by Strafford
- 20 June 2023, K&L Gates Advises Vox Royalty Corp. on Cross-border Underwritten Public Offering of Common Shares
- 29 December 2021 , K&L Gates Advises SeaChange on Merger Forming \$5 Billion Public Video App Business
- 29 December 2021 , K&L Gates Advises Gorilla Technology Group Inc. on Proposed Merger With Global SPAC Partners Co.
- 21 July 2021, K&L Gates Advises Reliant Bancorp on \$517 Million Merger With United Community Banks
- 10 June 2021, K&L Gates Advises Spartacus Acquisition Corporation on Merger With NextNav
- 3 February 2021, K&L Gates Launches Nashville Office With Hire of 25+ Lawyers

## MEDIA MENTIONS

- 16 March 2026, *FundFire* | SEC Chair Calls for 'Spring Clean' of Risk-Factor Disclosures

## OTHER MEDIA MENTIONS

- Mentioned, "[Law Firms Guide Chinese Companies on Multiple Fronts as the US Tightens Rules on IPOs](#)," *Law.com*, 24 September 2025
- Interview, "[Chinese companies listed in the US: 'It's attraction of the US market](#)," *CGTN Europe*, 11 August 2025
- Mentioned, "[Chinese firms set for record US listings, undeterred by geopolitics](#)," *Reuters*, 5 August 2025
- "[SEC Could Pull More 'Unicorns' Into Public Reporting Regime](#)," *Law360*, 28 January 2022

## AREAS OF FOCUS

- Capital Markets
- Corporate and Acquisition Finance
- Corporate Governance
- Mergers and Acquisitions
- Oil and Gas
- Private Equity Transactions
- Public Companies

## INDUSTRIES

- Financial Services
- Healthcare
- Private Equity