



Lisa Lautier

Partner

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OVERVIEW

Lisa is a partner in the Asset Management and Investment Funds practice area in Sydney. She has extensive experience advising on investment funds and financial services regulation.

Lisa is a trusted legal adviser who is passionate about providing practical advice with exceptional client service. She enjoys assisting local and offshore clients navigate the complexity of the Australian regulatory regime.

Lisa provides specific advice on:

- Fund formation across a range of asset classes including equities, fixed income, private credit, and direct property;
- Quotation and listing of exchange-traded funds (ETFs);
- Promotion and disclosure obligations for managed investment schemes (registered and unregistered);
- Outsourced trustee arrangements, fund operation, and service agreements related to investment management, custody, and administration;
- Transactional matters involving change of trustee or responsible entity; and
- Financial services regulation in relation to Australian financial services licensing, design and distribution obligations, ESG regulation, ASIC relief, and breach reporting requirements.

PROFESSIONAL BACKGROUND

Prior to joining the firm, Lisa was a partner in the corporate and commercial team at one of the largest legal partnerships in Australia. During her career, she has completed various secondments with well-recognised asset managers in Australia. She also spent four years in London where she worked for a listed Australian fund manager advising on various acquisitions, divestments, and regulatory matters.

ACHIEVEMENTS

- Recognised by *The Legal 500 Asia Pacific* as a Recommended Lawyer for Fintech and Financial Services Regulatory in Australia, 2025-2026

EDUCATION

- B.A., Macquarie University, 2005
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- LL.B., Macquarie University, 2005

ADMISSIONS

- High Court of Australia
- Supreme Court of England and Wales
- Supreme Court of New South Wales

THOUGHT LEADERSHIP POWERED BY HUB

- February 2026, ESG and the Sustainable Economy Handbook
- February 2026 , Global Survey of ESG Regulations for Asset Managers
- 22 December 2025, November 2025 ESG Policy Update—Australia
- 11 November 2025, October 2025 ESG Policy Update—Australia
- 10 November 2025, September 2025 ESG Policy Update—Australia
- 16 September 2025, August 2025 ESG Policy Update—Australia
- 12 September 2025, July 2025 ESG Policy Update—Australia
- 23 July 2025, June 2025 ESG Policy Update—Australia
- 25 June 2025, May 2025 ESG Policy Update—Australia
- 16 May 2025, April 2025 ESG Policy Update—Australia
- 7 April 2025, March 2025 ESG Policy Update—Australia
- 20 March 2025, February 2025 ESG Policy Update—Australia
- 20 February 2025, January 2025 ESG Policy Update—Australia
- February 2025, Australian CPD Series 2025

- February 2025, Australian CPD Series 2025: Sustainable Investment Issues
- February 2025, Australian CPD Series 2025
- February 2025, Australian CPD Series 2025: Sustainable Investment Issues
- 23 December 2024, December 2024 ESG Policy Update—Australia
- 11 December 2024, November 2024 ESG Policy Update—Australia
- 28 November 2024, October 2024 ESG Policy Update—Australia
- 3 October 2024, September 2024 ESG Policy Update—Australia
- 12 September 2024, August 2024 ESG Policy Update – Australia
- 11 September 2024, ESG—Australia: Mandatory Climate-Related Financial Disclosures: Legislation Passes Parliament
- 16 August 2024, July 2024 ESG Policy Update—Australia
- 6 August 2024, Australia: Environmental Futures Launch on the ASX
- 2 July 2024, June 2024 ESG Policy Update—Australia
- 24 June 2024, ESG—Australia - Carbon Offsets: Australian Regulatory Framework
- 11 June 2024, ESG—Australia – Climate-Related Financial Disclosures: Updated Bill
- 28 May 2024, May 2024 ESG Policy Update—Australia
- 24 April 2024, April 2024 ESG Policy Update—Australia
- 12 April 2024, Australia: Federal Court Rules on Greenwashing Civil Penalty Action
- 28 March 2024, March 2024 ESG Policy Update—Australia
- 28 March 2024, Update to the Naming Convention for Exchange Traded Products
- 26 March 2024, Australia: Labelling Responsible Investment Products
- 21 March 2024, Australia: ASIC Issues New Legislative Instrument for Exchange Traded Funds
- 27 February 2024, February 2024 ESG Policy Update—Australia
- 6 February 2024, Australia: Climate-Related Financial Disclosure: Exposure Draft Legislation
- 21 December 2023, December 2023 ESG Policy Update—Australia
- 4 December 2023, Australia: ASIC to Focus on Net Zero Statements and Targets
- 29 November 2023, Australia: ASIC is Remaking Exchange Traded Funds Class Order Relief
- 22 November 2023, November 2023 ESG Policy Update—Australia

- 30 October 2023, Australia: Mandatory Climate Reporting—The Draft Australian Standards Are Here
- 27 October 2023, ESG Policy Update—Australia
- 19 October 2023, Australia: ASIC Extends Transitional Relief For Foreign Financial Service Providers
- 19 September 2023, ESG Policy Update—Australia
- 24 April 2023, Australia: Ongoing Regulatory Requirements for Issuers Under the Trans-Tasman Mutual Recognition Scheme

OTHER PUBLICATIONS

- K&L Gates Advises Dimensional Fund Advisors on Three New Equity ETFs on the ASX, *Legal Desire*, August 2024
- Partner reflections after advising on 'dual-access' ETF structure, *Lawyers Weekly*, December 2023

NEWS & EVENTS

- 27 May 2025, K&L Gates Advises First Sentier Investors on the Launch of First ETF Product
- 27 March 2025, 2025 ETF Think Tanks—Sydney
- 23 January 2025 - 6 February 2025, 2025 ETF Think Tanks—United States
- 11 February - 20 February 2025, 2025 CPD Program—Australia
- 7 October 2024, K&L Gates Advises Elka Capital on Residential Land Lease Joint Venture With Assembly Funds Management
- 23 August 2024, K&L Gates Advises Dimensional Fund Advisors on Three New Equity ETFs on the ASX
- 20 November 2023, K&L Gates Advises Dimensional Fund Advisors on Launch of First ETFs on ASX
- 7 February - 16 February 2023, 2023 CPD Program—Australia
- 7 December 2022, K&L Gates Strengthens Corporate and Asset Management and Investment Funds Teams With Appointment of Three Partners

OTHER MEDIA MENTIONS

- Quoted, "Foreign entrants have upper hand in Aussie ETF market, analysts say", *Ignites (Australia)*, 17 April 2025
- Quoted, "Aussie fund managers underestimating challenges in ETF launches", *Money Management (Australia)*, 15 April 2025
- Quoted, "What to know for a first-time ETF listing", *Money Management (Australia)*, 8 April 2025

- Quoted, "Australia's booming ETF market draws in more specialised offerings", *Asian Investor, Press News Agency*, 21 October 2024
- Quoted, "K&L Gates guides Elka Capital through residential land lease joint venture", *The Australasian Lawyer*, 9 October 2024
- Quoted, "K&L Gates guides Dimensional Fund Advisors on new equity ETFs", *Australasian Lawyer*, 29 August 2024

AREAS OF FOCUS

- Asset Management and Investment Funds
- Exchange-Traded Products
- Global Distribution
- Global Financial Services Regulation
- Investment Advisers
- Investment Funds
- Real Estate Funds